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PTO/SB/81 (02-01)

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	10/071,166
Filing Date	February 8, 2002
First Named Inventor	Richard E. Smalley
Title	Gas-Phase Process for Purifying.
Group Art Unit	1754
Examiner Name	Not yet assigned
Attorney Docket Number	11321-P021US

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Robert C. Shaddox	34,011
Hugh R. Kress	36,574
Kelly K. Kordzik and James J. Murphy	36,571 and 34,503

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Telephone	214.745.5400	Fax	214.745.5390		

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name	Daryl S. Boudreaux
Signature	<i>D.S. Boudreaux</i>
Date	5/6/02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 9 forms are submitted.

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### SIGNATURE of Applicant or Assignee of Record

Name	Bob G. Gower
Signature	<i>Bob G. Gower</i>
Date	5-6-02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

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**STATEMENT UNDER 37 CFR 3.73(b)**COPY OF PAPERS  
ORIGINALLY FILEDApplicant/Patent Owner: Smalley, et al.Application No./Patent No.: 10/071,166 Filed/Issue Date: February 8, 2002Entitled: Gas-Phase Process for Purifying Single-Wall Carbon Nanotubes and Compositions ThereofCarbon Nanotechnologies, Inc., a Delaware Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is 9 %

in the patent application/patent identified above by virtue of either:

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- B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Yuemei Yang To: Carbon Nanotechnologies, Inc.The document was recorded in the United States Patent and Trademark Office at  
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- [✓] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

5-6-02

Date

Bob G. Gower

Typed or printed name

Signature

President and Chief Executive Officer

Title



**STATEMENT UNDER 37 CFR 3.73(b)**

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Applicant/Patent Owner: Smalley, et al.

Application No./Patent No.: 10/071,166 Filed/Issue Date: February 8, 2002

Entitled: Gas-Phase Process for Purifying Single-Wall Carbon Nanotubes and Compositions Thereof

William Marsh Rice University, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or  
2. ☒ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is 91 %

in the patent application/patent identified above by virtue of either:

- A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. [ ] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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2. From: Robert H. Hauge To: William Marsh Rice University

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3. From: Wan-Ting Chiang To: William Marsh Rice University

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

5/6/02  
Date

Daryl S. Boudreaux

Typed or printed name

[Signature]  
Signature

Director, Office of Technology Transfer

Title



STATEMENT UNDER 37 CFR 3.73(b)  
SUPPLEMENT SHEET

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Applicant: Smalley, et al.  
Application No: 10/071,166  
Filing Date: February 8, 2002  
Title: GAS-PHASE PROCESS FOR PURIFYING SINGLE-WALL CARBON NANOTUBES  
AND COMPOSITIONS THEREOF  
Art Unit: 1754  
Assignee: William March Rice University

B. CONTINUED:

4. From Kenneth A. Smith to William March Rice University

A copy of this document is attached.

5. From Wilber Carter Kittrell to William March Rice University

A copy of this document is attached.

6. From: Zhenning Gu to William March Rice University

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